
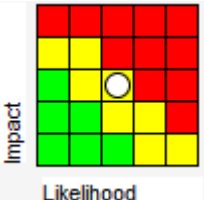
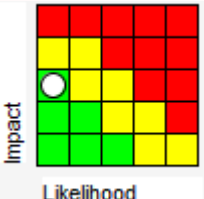
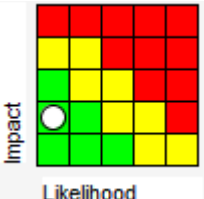


Corporate Risk Register


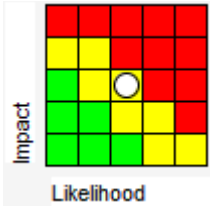
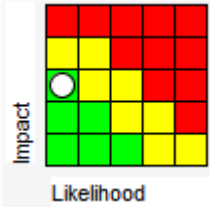
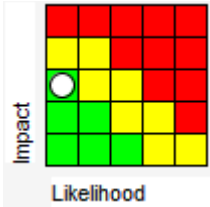
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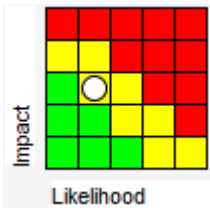
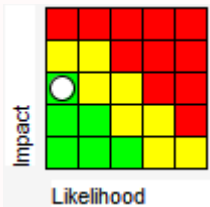
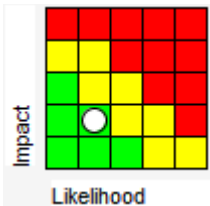
Risk Summary			Key	
Status	Code	Title	Risk Status	
	CRR 01	Significant Partnerships		OK
	CRR 02	Capital Programme		Warning
	CRR 03	Staff Management		Alert
	CRR 04	External Funding		Unknown
	CRR 05	Affordable Housing		
	CRR 06	Procurement		
	CRR 07	Health and Safety		
	CRR 08	Emergency Planning and Business Continuity Planning		
	CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.		
	CRR 11	Council Assets		
	CRR 12	Customer Expectations		
	CRR 13	Fraud and Corruption		
	CRR 14	Data Quality		
	CRR 15	Delivering Efficiencies		

CRR 01 Significant Partnerships				
Risk Code	Risk Title	Description	Status	
CRR 01	Significant Partnerships	That the Council fails to manage its partnerships effectively		
Consequences		Financial cost to the Council through partnership failure, breach of legislation by partnership with consequences for Council and its reputation, levels of service satisfaction and quality fall below acceptable levels.		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	3
		Medium		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	1
		Medium		Very Low
Target Risk Matrix		Target Rating Description		
	Target Impact	B	Target Likelihood	1
		Minor		Very Low
Latest Progress			Last Review Date	SMT Lead
20-Sep-2012 Management of significant partnerships continues. New approach to reporting on performance of partnership arrangements being developed			24-Sep-2011	Clare Slater


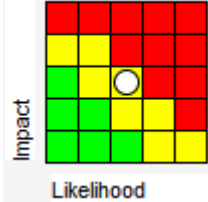
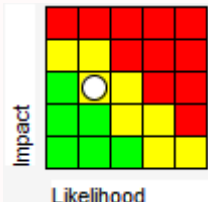
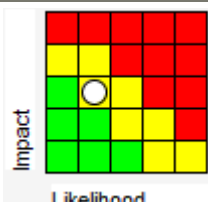
CRR 02 Capital Programme

Risk Code	Risk Title	Description		Status
CRR 02	Capital Programme	Failure to deliver Council priorities, due to poor management of the capital programme.		
Consequences		Failure to deliver the Council priorities		
Original Matrix		Original Rating Description		
		Original Impact	C	3
			Medium	Original Likelihood Likely
Current Risk Matrix		Current Rating Description		
		Current Impact	C	1
			Medium	Current Likelihood Very Low
Target Risk Matrix		Target Rating Description		
		Target Impact	C	1
			Medium	Target Likelihood Very Low
Latest Progress				Last Review Date
20-Sep-2012 Progress monitoring embedded in the work of Resources Working Party, who receive bi-monthly reports with highlight reporting on individual projects				24-Sep-2011
				SMT Lead Paul Cresswell


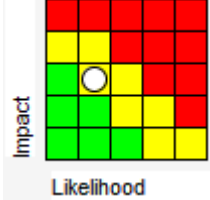
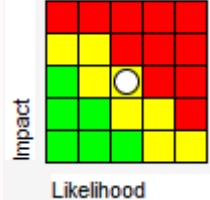
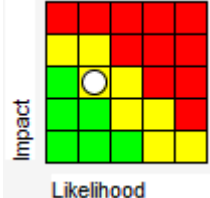
CRR 03 Staff Management


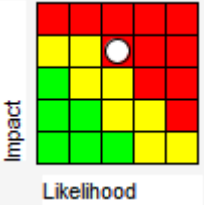
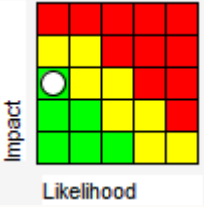
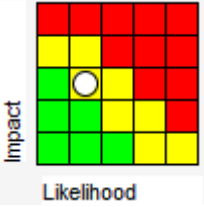
Risk Code	Risk Title	Description			Status
CRR 03	Staff Management	Failure to effectively manage and develop our workforce assets			
Consequences		Decline in employee performance and delivery			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
24-Sep-2012 Absence management working effectively. Ryedale was the best performing authority in North Yorkshire 2011/12, however there have been some incidences of longer term absence which will impact on the overall performance for the current year.				29-Sep-2011	Clare Slater

CRR 04 External Funding


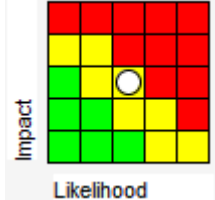
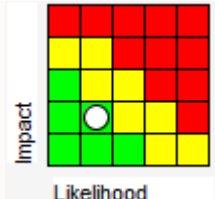
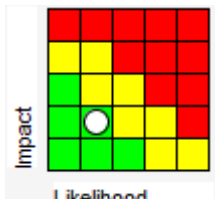
Risk Code	Risk Title	Description			Status
CRR 04	External Funding	Failure to attract external funding to support the priorities of the Council			
Consequences		Failure to deliver Council priorities requiring major financial investment. Increased costs to RDC. Failure to regenerate the local economy. Uncompetitive service delivery. Withdrawal or failure of a service. Inability to deliver new services			
Original Matrix		Original Rating Description			
		Original Impact	C Medium	Original Likelihood	3 Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C Medium	Current Likelihood	2 Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	C Medium	Target Likelihood	2 Not Likely
Latest Progress				Last Review Date	SMT Lead
24-Sep-2012 Strategic approach to new funding opportunities being developed in response to legislation and Community Infrastructure Levy (CIL). Linked to the development of the Local Development Framework.				24-Sep-2011	Paul Cresswell

CRR 05 Affordable Housing


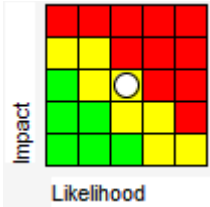
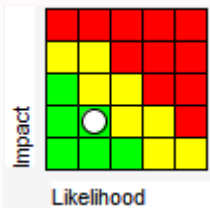
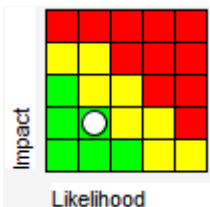
Risk Code	Risk Title	Description		Status	
CRR 05	Affordable Housing	Failure to meet identified housing need in Ryedale			
Consequences		Homelessness increases with resultant service costs. Unbalanced housing market. Negative impact on the local economy. Lack of key workers to support the needs of the community. Local people forced to move away from Ryedale.			
Original Matrix		Original Rating Description			
		Original Impact	C Medium	Original Likelihood	2 Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C Medium	Current Likelihood	3 Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	C Medium	Target Likelihood	2 Not Likely
Latest Progress				Last Review Date	SMT Lead
24-Sep-2012 The expected outturn for 2012/13 is around 60 units linked to a reduction in public sector funding support. There are ongoing discussions with the Housing and Communities Agency and Registered Providers to address this.				24-Sep-2012	Gary Housden

CRR 06 Procurement				
Risk Code	Risk Title	Description	Status	
CRR 06	Procurement	Failure to procure in line with legislation and in line with best value principles		
Consequences		Failure to make efficiency savings. Priority projects not delivered to budget. Adverse external inspection. Breach of legislation eg. equalities or health and safety. Damage to RDC reputation.		
Original Matrix		Original Rating Description		
	Original Impact	D	Original Likelihood	3
		Major		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	1
		Medium		Very Low
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	2
		Medium		Not Likely
Latest Progress			Last Review Date	SMT Lead
24-Sep-2012 Procurement Partnership established and the service received by the Council is working well with savings being achieved in line with efficiency targets.			24-Sep-2011	Phil Long


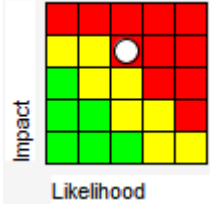
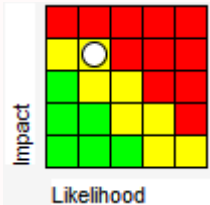
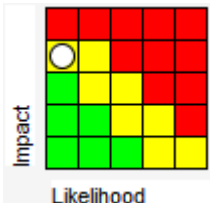
CRR 07 Health and Safety

Risk Code	Risk Title	Description		Status	
CRR 07	Health and Safety	Failure to ensure appropriate systems are in place to manage Health and safety			
Consequences		Failure to meet legislative requirements, prosecution and financial penalties incurred as a result of incident.			
Original Matrix		Original Rating Description			
		Original Impact	C	3	
			Medium	Original Likelihood	Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	2	
			Minor	Current Likelihood	Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	2	
			Minor	Target Likelihood	Not Likely
Latest Progress				Last Review Date	SMT Lead
24-Sep-2012 Member and officer training undertaken. Health and Safety policy framework in place. Ownership across the organisation, roles and responsibilities clarified at all levels of management				24-Sep-2011	Clare Slater


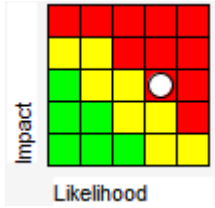
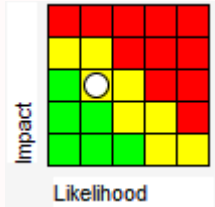
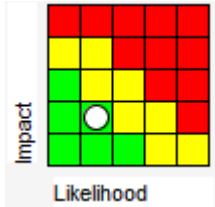
CRR 08 Emergency Planning and Business Continuity Planning

Risk Code	Risk Title	Description	Status	
CRR 08	Emergency Planning and Business Continuity Planning	Failure to produce effective, comprehensive and tested plan.		
Consequences		Failure in continuity of service delivery. Negative impact on the most vulnerable on our communities. Damage to RDC reputation. Financial penalties and litigation		
Original Matrix		Original Rating Description		
		Original Impact	C	3
			Medium	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	B	2
			Minor	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	B	2
			Minor	Target Likelihood
Latest Progress			Last Review Date	SMT Lead
24-Sep-2012 Emergency planning arrangements in place and tested. Training undertaken for all staff. Comprehensive Business Continuity Planning in place and fully tested.			24-Sep-2011	Paul Cresswell


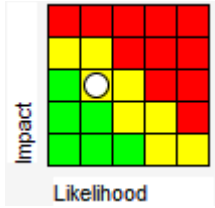
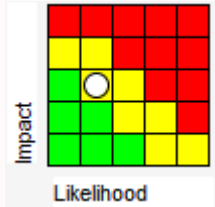
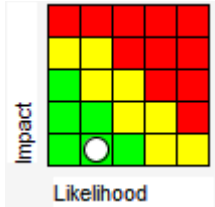
CRR 09 Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.

Risk Code	Risk Title	Description			Status
CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.				
Consequences		Adverse financial effect on the Council which could impact on Ryedale residents or require cuts to RDC services.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	3
			Major		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	D	Current Likelihood	2
			Major		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	D	Target Likelihood	1
			Major		Very Low
Latest Progress				Last Review Date	SMT Lead
24-Sep-2012 Significant changes are being proposed by the government to materially affect the financing and responsibilities of RDC. Officers working at national level through relevant treasurer societies and networks. Joint discussions underway within North Yorkshire and the region. Responses submitted to all consultations.				23-Sep-2011	Paul Cresswell


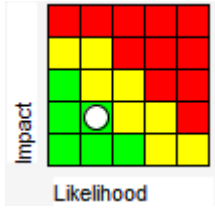
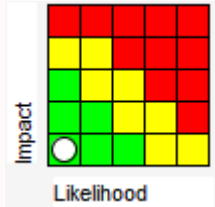
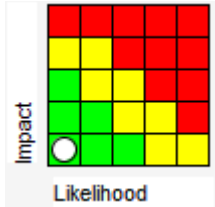
CRR 11 Council Assets

Risk Code	Risk Title	Description		Status	
CRR 11	Council Assets	Ensure the Council has proper plan to ensure maintenance and fitness for purpose of the Council assets			
Consequences					
Original Matrix		Original Rating Description			
		Original Impact	C	4	
			Medium	Original Likelihood	Very Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	2	
			Medium	Current Likelihood	Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	2	
			Minor	Target Likelihood	Not Likely
Latest Progress				Last Review Date	SMT Lead
19-Jan-2012 Major investment has resulted in improved facilities with significant investment into energy efficiency measures to reduce Co2 emissions				24-Sep-2011	Phil Long


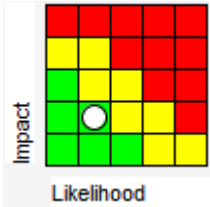
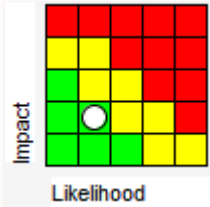
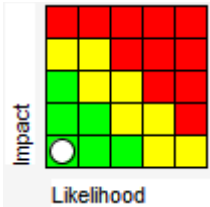
CRR 12 Customer Expectations

Risk Code	Risk Title	Description	Status	
CRR 12	Customer Expectations	Failure to meet customer service standards and meet customer expectations.		
Consequences		Include CR02		
Original Matrix		Original Rating Description		
		Original Impact	C	2
			Medium	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	C	2
			Medium	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	A	2
			Low	Target Likelihood
Latest Progress			Last Review Date	SMT Lead
24-Sep-2012 Managing customer expectations through media and communications in relation to funding challenges facing the public sector.			28-Sep-2011	Paul Cresswell


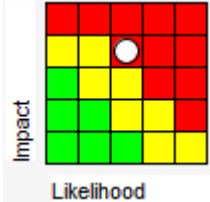
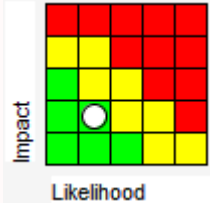
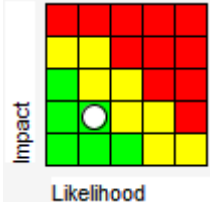
CRR 13 Fraud and Corruption

Risk Code	Risk Title	Description		Status
CRR 13	Fraud and Corruption	Failure to ensure Council has proper procedures and policies for the prevention and detection of fraud.		
Consequences		Financial loss to the Council, damage to our reputation and credibility		
Original Matrix		Original Rating Description		
		Original Impact	B	2
			Minor	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	A	1
			Low	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	A	1
			Low	Target Likelihood
Latest Progress			Last Review Date	SMT Lead
24-Sep-2012 Arrangement with Veritau working well to deliver fraud and corruption service for Housing Benefit, currency of fraud and corruption policy maintained and training provided to managers.			24-Sep-2011	Paul Cresswell

CRR 14 Data Quality

Risk Code	Risk Title	Description			Status	
CRR 14	Data Quality	The Council recognises the importance of data quality as we need reliable, accurate and timely performance information with which to manage services, inform users and account for our performance				
Consequences						
Original Matrix		Original Rating Description				
		Original Impact	B	Original Likelihood	2	
			Minor		Not Likely	
Current Risk Matrix		Current Rating Description				
		Current Impact	B	Current Likelihood	2	
			Minor		Not Likely	
Target Risk Matrix		Target Rating Description				
		Target Impact	A	Target Likelihood	1	
			Low		Very Low	
Latest Progress					Last Review Date	SMT Lead
24-Sep-2012 Arrangement with Veritau working well to deliver fraud and corruption service for Housing Benefit, currency of fraud and corruption policy maintained and training provided to managers.					28-Sep-2011	Clare Slater

CRR 15 Delivering Efficiencies

Risk Code	Risk Title	Description		Status
CRR 15	Delivering Efficiencies	Council fails to meet efficiency targets which necessitates cuts to other services		
Consequences		Cuts to frontline services, reputational damage to the Council, possible poor outcome of external inspection.		
Original Matrix		Original Rating Description		
		Original Impact	D	3
			Major	Original Likelihood Likely
Current Risk Matrix		Current Rating Description		
		Current Impact	B	2
			Minor	Current Likelihood Not Likely
Target Risk Matrix		Target Rating Description		
		Target Impact	B	2
			Minor	Target Likelihood Not Likely
Latest Progress				Last Review Date
24-Sep-2012 Successful delivery of One-11 programme achieving savings of over £1 million. Going for Gold programme underway with significant efficiencies achieved to contribute to the target saving of £700k. Planning underway for meeting projected budget shortfall anticipated for 2013/14.				SMT Lead 24-Sep-2011 Paul Cresswell